



February 28, 2013

VIA Certified U.S. Mail

Office of Enforcement and Compliance Assurance
Office of Federal Activities
International Compliance Assurance Division (2254A)
Environmental Protection Agency
1200 Pennsylvania Avenue, NW
Washington, DC 20460

Re: Johnson Controls Battery Group, Inc.
2012 SLAB Export Annual Report

Dear Sir or Madam:

Enclosed with this letter is Johnson Controls Battery Group's Inc.'s (JCBGI's) Annual Report regarding exports of spent lead-acid batteries (SLABs), as required under 40 C.F.R. § 262.56.

Please contact me at (414) 524-2597 if you have any questions.

Sincerely,

A handwritten signature in blue ink, appearing to read "Joe Marquardt", with a stylized flourish at the end.

Joe Marquardt, Environmental Relations Manager
Johnson Controls Battery Group, Inc.

Enclosure

received
son 3/11/2013

2012 SLAB EXPORT REPORT FOR U.S. EPA

February 28, 2013

VIA Certified U.S. Mail

Office of Enforcement and Compliance Assurance
 Office of Federal Activities, International Compliance Assurance Division (2254A)
 Environmental Protection Agency
 1200 Pennsylvania Avenue, NW
 Washington, DC 20460

Re: 2012 SLAB Export Report
 Johnson Controls Battery Group, Inc.

Dear Sir or Madam:

Johnson Controls Battery Group, Inc. ("JCBGI") is submitting this annual report to the U.S. Environmental Protection Agency ("U.S. EPA"), pursuant to 40 C.F.R. Sections 262.56, 262.87(a) and 266.80, to provide a summary of the total number of shipments and volume of spent lead-acid batteries ("SLABs") exported by JCBGI to Mexico and Canada during the previous calendar. This annual report covers JCBGI's SLAB export activities only from January 1, 2012 to December 31, 2012. Summaries of SLABs exported by JCBGI to Mexico and Canada are included in the sections below.

The following information applies to all SLAB exported to Mexico and Canada.

Exporter Mailing Address	Johnson Controls Battery Group, Inc. 5757 N. Green Bay Avenue Glendale, WI 53209
Calendar Year Covered by the Report	2012
Description of the Hazardous Waste	Spent Lead-Acid Batteries (whole, intact)
U.S. EPA and DOT Hazardous Waste Numbers and Class	Spent lead acid batteries, whole, D008, D002, waste batteries, spillable, wet, filled with acid, UN2794, Class 8, PGIII
Designation of Waste Type and Applicable OECD Waste Codes	AA170 (lead batteries)

I. MEXICO

Exporter Site Addresses and U.S. EPA Identification Number(s)	Yuma Distribution Center 3740 South Arizona Avenue Yuma, AZ 85365 ID#AZR000502476
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	San Antonio Distribution Center, 12915 Wetmore Road San Antonio, TX 78247 ID#TXR000064527
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A. Consignee/Final Recovery Facility - Enertec Exports S. de R.L. de C.V. Planta Cienega de Flores

Name and Site Address of Consignee/Final Recovery Facility	Enertec Exports S. de R.L. de C.V. Planta Cienega de Flores, 19-12-PS-V-07-94, Carretera Monterrey-Nuevo Laredo km. 11.5, Cienega de Flores, Nuevo Leon CP 65550 Mexico
Name of Transporters and U.S. EPA Identification Numbers (where applicable)	Esquemas Logisticos, SALCI, Alfredo Villalon, SITI, CI Fletamentos, Transmontes, Xpress Int, GASA, JEMA, Enertec, M&H, AFN, Mercurio
Total Number of Shipments and Volume	<p>Notification #004492/3E/12 (San Antonio to Cienega):</p> <p>Volume – 88,874 MT</p> <p>Number of Shipments – 4,443</p> <p>Notification #002074/2E/11 (San Antonio to Cienega):</p> <p>Volume – 5,705 MT</p> <p>Number of Shipments - 285</p> <p>Notification #002720/5E/11 (Yuma to Cienega):</p> <p>Volume – 34,991 MT</p> <p>Number of Shipments – 1,750</p> <p>Notification #004595/4E/12 (Yuma to Cienega):</p> <p>Volume – 3,367 MT</p> <p>Number of Shipments – 168</p> <p>Total Volume – 132,937 MT</p> <p>Total Shipments – 6,646</p>

B. Consignee/Final Recovery Facility - Enertec Exports S. de R.L. de C.V. Planta Garcia

Name and Site Address of Consignee/Final Recovery Facility	Enertec Exports S. de R.L. de C.V. Planta Garcia, 19-IV-38-10, Carretera a García KM 9.5 García Nuevo León Mexico
Name of Transporters and U.S. EPA Identification Numbers (where applicable)	Esquemas Logisticos, SALCI, Alfredo Villalon, SITI, CI Fletamentos, Transmontes, Xpress Int, GASA, JEMA, Enertec, M&H, AFN, Mercurio
Total Number of Shipments and Volume	<p>Notification #002719/5E/11 (San Antonio to Garcia):</p> <p>Volume – 97,503 MT</p> <p>Number of Shipments – 4,875</p> <p>Notification #004610/4E/12 (San Antonio to Garcia):</p> <p>Volume – 62,570 MT</p> <p>Number of Shipments – 3,128</p> <p>Notification #002718/5E/11 (Yuma to Garcia):</p> <p>Total Volume – 23,285 MT</p> <p>Total Shipments – 1,164</p> <p>Notification #004596/4E/12 (Yuma to Garcia):</p> <p>Total Volume – 2,741 MT</p> <p>Total Shipments – 135</p> <p>Total Volume – 186,099 MT</p> <p>Total Shipments – 9,305</p>

II. CANADA

Exporter Site Addresses and U.S. EPA Identification Number(s) (where applicable)	Johnson Controls Battery Group Inc. 700 North Broad Street Middletown, DE 19709 ID#: DED002353092
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	Johnson Controls Battery Group Inc. 8710 Indianapolis Road Fort Wayne, IN 46809-9793 ID#: IND000715250
	J. Lestician Warehouse 32 Plum Street Trenton, NJ USA 08638 ID#: NJR4000071530
	Johnson Controls Battery Group, Inc. 800 NW 3 rd Avenue Canby, OR 97013 ID#: ORD010746402
	Johnson Controls Battery Group Inc. 300 S Glengarry Dr. Geneva, IL 60134 ID#: ILD980502470

A. Consignee/Final Recovery Facility - La Corporation Newalta

Name and Site Address of Consignee/Final Recovery Facility	La Corporation Newalta, 1200 Garnier ville Ste-Chatherine (Quebec), Canada J5C 1B4
Name of Transporters and U.S. EPA Identification Numbers (where applicable)	See attached lists of transporters.
<i>Total Number of Shipments and Volume</i>	Permits; 004623/4E/12, 004479/4E/12 Volume – 15,390 MT Number of Shipments – 765

B. Consignee/Final Recovery Facility - Tonolli Canada Ltd.

Name and Site Address of Consignee/Final Recovery Facility	Tonolli Canada Ltd. 1333 Tonolli Road Mississauga, ON L4Y 4C2, Canada
Name of Transporters and U.S. EPA Identification Numbers (where applicable)	See attached list of transporters.
<i>Total Number of Shipments and Volume</i>	Permits; #0005524/9#/12, #005651/9E/12, #5652/93/12

	Volume – 6,486 Number of Shipments - 324
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C. Consignee/Final Recovery Facility - KC Recycling

Name and Site Address of Consignee/Final Recovery Facility	KC Recycling 9350 Highway 22A Trail, British Columbia, Canada
Name of Transporters and U.S. EPA Identification Numbers (where applicable)	See attached list of transporters.
<i>Total Number of Shipments and Volume</i>	Permits; #004009/1E/12 (Canby, OR to KC Recycling): Volume – 12,106 MT Number of Shipments – 1,008

Certification

I certify under penalty of law that I have personally examined and am familiar with the information submitted in this and all attached documents, and that based on my inquiry of those individuals immediately responsible for obtaining the information, I believe that the submitted information is true, accurate, and complete. I am aware that there are significant penalties for submitting false information including the possibility of fine and imprisonment.

If you have any questions regarding the contents of this annual report, please contact me at (414) 524-2597.

Sincerely,



Joe Marquardt, Environmental Relational Manager
Johnson Controls Battery Group, Inc.

Box 4 – Authorized Carrier List

LT 1090

L.S. MCLELLAN TRUCKING LTD.
1901 THEATRE ROAD
CRANBROOK, BC V1C 7G3
PHONE: 877-717-2988
FAX: 888-420-1166
E-MAIL: lsmtrucking@shaw.ca
CONTACT: KEN HOULIND
INSURANCE COMPANY: WHILLIS HARDING AGENCIES
INSURANCE COMPANY: ICBC
POLICY#: 697250

LT 0124

BERRY & SMITH TRUCKING LTD.
301 WARREN AVE. EAST
PENTICTON, BC V2A 3M1
PHONE: 250-492-4042
FAX: 250-492-7655
E-MAIL: markb@berryandsmith.com
CONTACT: MARK BERRY
INSURANCE COMPANY: HUB INTERNATIONAL LTD.
POLICY#: CBC1911776

LT 1163

SKYHAWK TRUCKING
304 SLATER ROAD
CRANBROOK, BC V1C 4K5
PHONE: 250-417-3354
FAX: 250-417-3359
CONTACT: BOB HAWKLEY
INSURANCE COMPANY: EAST KOOTENAY REALTY LTD. INSURANCE
POLICY#: A3610
INSURANCE COMPANY: ICBC

INTERSTATE DISTRIBUTOR CO.

11707 21ST AVE. CT. S
TACOMA, WA98444
PHONE: 800-426-8560
FAX: 800-795-1050
CONTACT: JO BORDEN
INSURANCE COMPANY: JOE MORTEN & SON INC.
POLICY#: GWP04545G
INSURANCE COMPANY: USI NORTHWEST
POLICY: #IRE478141 / BE6543539

LT 1219

DELL TRANSPORT LTD.
75 OLD MILL ROAD
CASTLEGAR, BC V1N 4T7
PHONE: 250-304-2290
FAX: 250-304-2298
CONTACT: RANDY PERREAULT
EMAIL: randyperreault@shaw.ca
INSURANCE CO.: CAPRI INSURANCE
POLICY #: 1350074
CARGO INS POLICY #: AIMI 5736
ICBC: 02563604, 3518870, 01807080, 02533364, 00183638

7

00935

01000

FedEx NEW Package
Express US Airbill
FedEx
Tracking
Number

8010 1482 9094

1 From This portion can be removed for Recipient's records.

Date 2-28-13

FedEx
Tracking Number

801014829094

Sender's
Name

Joe Marquardt

Phone

414 524-2597

Company

JOHNSON CONTROLS

Address

5757 N GREEN BAY AVE

Dept./Floor/Suite/Room

City

MILWAUKEE

State

WI

ZIP

53209-4408

2 Your Internal Billing Reference

3 To

Recipient's
Name

Eva Kreisler

Phone

202 564-8186

Company

U.S.E.P.A.
Office of Federal Activities

Address

We cannot deliver to P.O. boxes or P.O. ZIP codes.

Dept./Floor/Suite/Room

International Compliance Division

Address

Use this line for the HOLD location address or for continuation of your shipping address.

(2254A)

City

Washington

State

DC

ZIP

20460

0453557258



8010 1482 9094

Form
ID No.

0215

Recipient's Copy

4 Express Package Service

* To most locations.

NOTE: Service order has changed. Please select carefully.

Packages up to 150 lbs.

For packages over 150 lbs., use the new
FedEx Express Freight US Airbill.

Next Business Day

☐ FedEx First Overnight
Earliest next business morning delivery to select
locations. Friday shipments will be delivered on
Monday unless SATURDAY Delivery is selected.

☐ FedEx Priority Overnight
Next business morning. Friday shipments will be
delivered on Monday unless SATURDAY Delivery
is selected.

☒ FedEx Standard Overnight
Next business afternoon.
Saturday Delivery NOT available.

2 or 3 Business Days

☐ NEW FedEx 2Day A.M.
Second business morning.
Saturday Delivery NOT available.

☐ FedEx 2Day
Second business afternoon. Thursday shipments
will be delivered on Monday unless SATURDAY
Delivery is selected.

☐ FedEx Express Saver
Third business day.
Saturday Delivery NOT available.

5 Packaging

* Declared value limit \$500.

☒ FedEx Envelope*

☐ FedEx Pak*

☐ FedEx
Box

☐ FedEx
Tube

☐ Other

6 Special Handling and Delivery Signature Options

☐ SATURDAY Delivery

NOT available for FedEx Standard Overnight, FedEx 2Day A.M., or FedEx Express Saver.

☒ No Signature Required
Package may be left without
obtaining a signature for delivery.

☐ Direct Signature
Someone at recipient's address
may sign for delivery. Fee applies.

☐ Indirect Signature
If no one is available at recipient's
address, someone at a neighboring
address may sign for delivery. For
residential deliveries only. Fee applies.

Does this shipment contain dangerous goods?

One box must be checked.

☒ No

☐ Yes
As per attached
Shipper's Declaration.

☐ Yes
Shipper's Declaration
not required.

☐ Dry Ice

Dry Ice, 9, UN 1845

x kg

Dangerous goods (including dry ice) cannot be shipped in FedEx packaging
or placed in a FedEx Express Drop Box.

☐ Cargo Aircraft Only

7 Payment Bill to:

Enter FedEx Acct. No. or Credit Card No. below.

☒ Sender
Acct. No. in Section
I will be billed.

☐ Recipient

☐ Third Party

☐ Credit Card

☐ Cash/Check

FedEx
Express

URGE

Name

Company

Address

City, State ZIP

FedEx
☒ 1st Attempt ☐ 2nd Attempt ☐ Final Attempt Date 3/5 Time 10:51
DT1032 8081 7859

☒ 1st Attempt ☐ 2nd Attempt ☐ Final Attempt Date 3/7 Time 11:03
DT1030 9290 9586

Name

Company

Address

City, State ZIP

Telephone

 1200 Pennsylvania 7234
20460

FedEx
TRK#
0215 8010 1482 9094

19 RDVA


 MON - 04 MAR 3:00P
STANDARD OVERNIGHT

 20460
DC-US
DCA